# ON LAGRANGE INTERPOLATION AT DISTURBED ROOTS OF UNITY

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ABSTRACT. Let  $z_{nk}=e^{it_{nk}},\ 0\leq t_{n0}<\cdots< t_{nn}<2\pi$ , f a function in the disc algebra A, and  $\mu_n=\max\{|t_{nk}-2k\pi/(n+1)|:\ 0\leq k\leq n\}$ . Denote by  $L_n(f;\, \cdot)$  the polynomial of degree n that agrees with f at  $\{z_{nk}:\ k=0,\ldots,n\}$ . In this paper, we prove that for every  $p,0< p<\infty$ , there exists a  $\delta_p>0$ , such that  $\|L_n(f;\, \cdot)-f\|_p=O(\omega(f;\frac{1}{n}))$  whenever  $\mu_n\leq \delta_p/(n+1)$ . It must be emphasized that  $\delta_p$  necessarily depends on p, in the sense that there exists a family  $\{z_{nk}:\ k=0,\ldots,n\}$  with  $\mu_n=\delta_2/(n+1)$  and such that  $\|L_n(f;\, \cdot)-f\|_2=O(\omega(f;\frac{1}{n}))$  for all  $f\in A$ , but  $\sup\{\|L_n(f;\, \cdot)\|_p:\ f\in A,\|f\|_\infty=1\}$  diverges for sufficiently large values of p. In establishing our estimates, we also derive a Marcinkiewicz-Zygmund type inequality for  $\{z_{nk}\}$ .

#### 1. Introduction

Let D be the open unit disc in the complex plane with closure  $\overline{D}$  and boundary T. Also, let  $z_{nk} = e^{it_{nk}}$ ,  $0 \le t_{n0} < \cdots < t_{nn} < 2\pi$ , and for a function f defined on T, let  $L_n(f; \cdot)$  be the Lagrange polynomial of degree n that interpolates f at  $\{z_{nk}: k = 0, \ldots, n\}$ . If f is analytic on  $\overline{D}$ , then the following result is well known (cf. [17, Chapter 7]).

**Theorem A.** For any f analytic on  $\overline{D}$ , a necessary and sufficient condition for

$$||L_n(f; \cdot) - f||_{\infty} \to 0$$

is that the family  $\{z_{nk}: k = 0, ..., n\}$  is uniformly distributed on T.

Here and throughout, we use the usual notation:

$$||f||_{p} = \begin{cases} \left\{ \frac{1}{2\pi} \int_{0}^{2\pi} |f(e^{i\theta})|^{p} d\theta \right\}^{\frac{1}{p}} & \text{for } 0$$

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In this paper, we consider Lagrange interpolation of functions in the disc algebra A; that is, functions which are analytic in D and continuous on  $\overline{D}$ . It is well known, however, that the above result does not hold for  $f \in A$  in general (cf. [6, 16]). In fact, Vértesi [16] proved in 1982 that for any family  $\{z_{nk}: k=0,\ldots,n\}$ , there exists an  $f_0 \in A$  such that

$$\limsup_{n\to\infty} |L_n(f_0;\,\boldsymbol{\cdot})| = \infty$$

almost everywhere on T. For this reason, we must consider convergence in  $L_p = L_p(T)$ , 0 . In this direction, probably the earliest result is due to Lozinski [10] in 1941, as follows.

**Theorem B.** For  $0 and <math>z_{nk} = \exp(i2k\pi/(n+1))$ ,

$$||L_n(f;\cdot)-f||_p\to 0$$

for all  $f \in A$ .

Related results on interpolation at the roots of unity have also been obtained by Walsh and Sharma [18], Sharma and Vértesi [13], Saff and Walsh [12], and Shen [14], and at Fejér points on a Jordan curve by Curtiss [4], Al'per and Kalinogorskaja [1], Shen and Zhong [15], and Chui and Shen [2]. In this paper, we consider sample points  $z_{nk}$  which are not necessarily the (n+1)th roots of unity.

In order to give a sharp estimate of the order of convergence, we first establish the following Marcinkiewicz-Zygmund type inequality which is of independent interest. To facilitate our presentation, we need the following notation:

 $\pi_n$  = the class of polynomials of degree at most n

and

(1.1) 
$$\mu_n = \max_{0 \le k \le n} \left| t_{nk} - \frac{2k\pi}{n+1} \right|.$$

**Theorem 1.** For any p,  $1 , there exist positive constants <math>\delta_p$  and  $C_p$ , such that whenever

$$\mu_n \le \frac{\delta_p}{n+1},$$

then

$$\frac{1}{2\pi} \int_0^{2\pi} |P_n(e^{i\theta})|^p d\theta \le \frac{C_p}{n+1} \sum_{k=0}^n |P_n(z_{nk})|^p$$

for all  $P_n \in \pi_n$ , where  $z_{nk} = e^{it_{nk}}$ .

As usual,  $E_n(f)$  will denote the error of uniform approximation of f on T from  $\pi_n$ , namely:

$$E_n(f) = \inf_{q \in \pi_n} ||f - q||_{\infty}.$$

The main theorem in this paper is the following.

**Theorem 2.** For any p,  $0 , there exist positive constants <math>\delta_p$  and  $C'_p$ , such that whenever (1.2) is satisfied, then

$$||L_n(f; \cdot) - f||_p \le C'_n E_n(f)$$

for any  $f \in A$ .

We remark that for  $1 , the <math>\delta_p$  in the above two theorems are the same, and for  $0 , we may choose <math>\delta_p = \delta_{p'}$  for any p' > 1. As a simple consequence of the above theorem, we have the following result.

Corollary 1. Under the hypotheses stated in Theorem 2,

$$||L_n(f;\cdot) - f||_p \le C_{p,r} n^{-r} \omega \left(f^{(r)}; \frac{1}{n}\right)$$

for any  $f \in A$  with  $f(e^{it}) \in C^r[0, 2\pi]$ , where  $\omega(g; t)$  is the uniform modulus of continuity of g and  $C_{p,r}$  is an absolute constant, depending only on p and r.

We remark that in condition (1.2) the constant  $\delta_p$  must necessarily depend on p. In §4, we will construct a family  $\{z_{nk}: k=0,\ldots,n\}$  with  $\mu_n \leq \delta/(n+1)$ , where  $\delta > 0$ , for which

$$||L_n(f; \cdot) - f||_2 \le C_2 E_n(f)$$

for all  $f \in A$ , but

$$\sup\{\|L_n(f;\cdot)\|_n: f \in A, \|f\|_{\infty} = 1\} \to \infty.$$

We also remark that a necessary condition for  $||L_n(f;\cdot) - f||_p \to 0$ , where  $f \in A$  and  $0 , is that the family <math>\{z_{nk}: k = 0, \ldots, n\}$  is uniformly distributed on T. This will be proved in §5. (Recall from Theorem A that this is also a necessary condition for  $||L_n(f;\cdot) - f||_\infty \to 0$ .)

### 2. Preliminary results

We first derive a result in harmonic analysis which is perhaps of independent interest. Let  $\varphi \in L^1(T)$ . Recall that  $\varphi \in BMO$  with norm  $\|\varphi\|_*$  if

$$\|\varphi\|_* := \sup_I \frac{1}{|I|} \int_I |\varphi(e^{i\theta}) - \varphi_I| d\theta < \infty,$$

where the supremum is taken over all arcs I on T with length |I| and

$$\varphi_I = \frac{1}{|I|} \int_I \varphi(e^{i\theta}) d\theta.$$

The following is a well-known result due to John-Nirenberg (cf. [8, Chapter 6]).

**Theorem C.** There exist positive constants m and M such that for any  $\varphi \in BMO$ , any arc  $I \subset T$ , and any  $\lambda > 0$ ,

$$\frac{|\{e_{i\theta} \in I \colon |\varphi(e^{i\theta}) - \varphi_i| > \lambda\}|}{|I|} \le M \exp\left\{\frac{-m\lambda}{\|\varphi\|_*}\right\}.$$

We remark that the constants m and M can be chosen to be  $m = \frac{1}{4e}$  and  $M = \sqrt{e}$ .

The following result on the BMO norm can be found in [9].

**Theorem D.** There exists an absolute constant  $C_*$  such that for any  $g(e^{i\theta}) \in BMO$  with g(z) analytic in |z| > 1 and bounded at  $\infty$ ,

$$||g(e^{i\theta})||_* \le C_* \inf\{||g - h||_{\infty}: h \in H^{\infty}\}.$$

For any  $\delta > 0$  and 1 , a nonnegative function <math>w defined on T is called an  $A_p$ -weight relative to  $\delta$  if

$$(2.1) \qquad \sup_{I} \left( \frac{1}{|I|} \int_{I} w(e^{i\theta}) d\theta \right) \left( \frac{1}{|I|} \int_{I} (w(e^{i\theta}))^{-\frac{1}{p-1}} d\theta \right)^{p-1} < \delta.$$

For  $A_p$ -weights, the following result is due to Muckenhoupt [11].

**Theorem E.** Let  $1 and <math>w(e^{i\theta})$  be an  $A_p$ -weight relative to some  $\delta > 0$ . Then for any  $g \in L^p(T)$ , its Cauchy transform

(2.2) 
$$(Hg)(z) := \frac{1}{2\pi i} \int_{T} \frac{g(\zeta)}{\zeta - z} d\zeta, \qquad |z| < 1,$$

satisfies

(2.3) 
$$\int_0^{2\pi} |(Hg)(e^{i\theta})|^p w(e^{i\theta}) d\theta \le C_H \int_0^{2\pi} |g(e^{i\theta})|^p w(e^{i\theta}) d\theta ,$$

where  $C_H$  is an absolute constant depending only on  $\delta$  and p.

Of course,  $(Hg)(e^{i\theta})$  in inequality (2.3) is the almost everywhere radial limit of  $(Hg)(re^{i\theta})$ .

We have the following result.

**Lemma 1.** For any p,  $1 , there exists an <math>\varepsilon_p > 0$ , such that for all  $\varphi \in BMO$  with  $\|\varphi\|_* < \varepsilon_p$ ,  $|e^{\varphi}|$  is an  $A_p$ -weight relative to  $\delta = 2$ .

*Proof.* Since  $\|\operatorname{Re} \varphi\|_* \le \|\varphi\|_*$ , we may assume, without loss of generality, that  $\varphi$  is a real-valued function. Set  $\psi = -\varphi/(p-1)$  and  $w = e^{\varphi}$ . Then we have

(2.4) 
$$\left(\frac{1}{|I|} \int_{I} w \, d\theta \right) \left(\frac{1}{|I|} \int_{I} w^{-\frac{1}{p-1}} \, d\theta \right)^{p-1}$$

$$= \left(\frac{1}{|I|} \int_{I} e^{\varphi - \varphi_{I}} \, d\theta \right) \left(\frac{1}{|I|} \int_{I} e^{\psi - \psi_{I}} \, d\theta \right)^{p-1} ,$$

where it follows from Theorem C that

$$\begin{split} \frac{1}{|I|} \int_{I} e^{\varphi - \varphi_{I}} \, d\theta &= \frac{1}{|I|} \int_{0}^{\infty} |\{z \in I \colon e^{\varphi(z) - \varphi_{I}} > \lambda\}| \, d\lambda \\ &\leq \frac{1}{|I|} \int_{0}^{\infty} |\{z \in I \colon |\varphi(z) - \varphi_{I}| > \ln \lambda\}| \, d\lambda \\ &\leq 1 + \frac{1}{|I|} \int_{1}^{\infty} |\{z \in I \colon |\varphi(z) - \varphi_{I}| > \ln \lambda\}| \, d\lambda \\ &\leq 1 + \int_{1}^{\infty} M \exp\left\{ -\frac{m \ln \lambda}{\|\varphi\|_{*}} \right\} \, d\lambda = 1 + \frac{M \|\varphi\|_{*}}{m - \|\varphi\|_{*}}. \end{split}$$

Similarly, we also have

$$\frac{1}{|I|} \int_{I} e^{\psi - \psi_{I}} d\theta \leq 1 + \frac{M \|\psi\|_{*}}{m - \|\psi\|_{*}} = 1 + \frac{M \|\varphi\|_{*}}{(p - 1)m - \|\varphi\|_{*}}.$$

Combining these two estimates in (2.4), we have

(2.5) 
$$\left( \frac{1}{|I|} \int_{I} w \, d\theta \right) \left( \frac{1}{|I|} \int_{I} w^{-\frac{1}{p-1}} \, d\theta \right)^{p-1}$$

$$\leq \left( 1 + \frac{M \|\varphi\|_{*}}{m - \|\varphi\|_{*}} \right) \left( 1 + \frac{M \|\varphi\|_{*}}{(p-1)m - \|\varphi\|_{*}} \right)^{p-1} < 2$$

for all  $\varphi \in BMO$  with sufficiently small  $\|\varphi\|_*$ .  $\square$ 

Next, to go from T to D, we introduce the function

(2.6) 
$$\omega_n(z) = \prod_{k=0}^n \left( z - \left( 1 - \frac{1}{n+1} \right) z_{nk} \right),$$

where  $z_{nk} = e^{it_{nk}}$ . Our key lemma for establishing Theorem 1 is the following.

**Lemma 2.** Let  $1 and <math>\mu_n$  be defined as in (1.1). Then there exists a positive constant  $\delta_p$  such that whenever  $\mu_n \leq \delta_p/(n+1)$ ,  $|\omega_n(e^{i\theta})|^p$  is an  $A_p$ -weight relative to  $2^{p+1}$ .

*Proof.* We introduce three more polynomials:

(2.7) 
$$\begin{cases} \omega_n^*(z) = \prod_{k=0}^n \left( z - \left( 1 + \frac{1}{n+1} \right) z_{nk} \right), \\ \tilde{\omega}_n(z) = z^{n+1} - \left( 1 - \frac{1}{n+1} \right)^{n+1}, \\ \tilde{\omega}_n^*(z) = z^{n+1} - \left( 1 + \frac{1}{n+1} \right)^{n+1}. \end{cases}$$

Clearly,  $\ln\left(\frac{\omega_n(z)}{\tilde{\omega}_n(z)}\right)$  is in BMO on T, analytic in |z| > 1, and bounded at  $\infty$ , so that it follows from Theorem D that

$$\left\| \ln \left( \frac{\omega_{n}(e^{i\theta})}{\tilde{\omega}_{n}(e^{i\theta})} \right) \right\|_{*} \leq C_{*} \left\| \ln \left( \frac{\omega_{n}(z)}{\tilde{\omega}_{n}(z)} \right) - \ln \left( \frac{\omega_{n}^{*}(z)}{\tilde{\omega}_{n}^{*}(z)} \right) \right\|_{\infty}$$

$$= C_{*} \max_{\theta} \left| \sum_{k=0}^{n} \ln \frac{\left( e^{i\theta} - \left( 1 - \frac{1}{n+1} \right) e^{it_{nk}} \right) \left( e^{i\theta} - \left( 1 + \frac{1}{n+1} \right) e^{i\frac{2k\pi}{n+1}} \right) \right|}{\left( e^{i\theta} - \left( 1 + \frac{1}{n+1} \right) e^{it_{nk}} \right) \left( e^{i\theta} - \left( 1 - \frac{1}{n+1} \right) e^{i\frac{2k\pi}{n+1}} \right)} \right|$$

$$= C_{*} \max_{\theta} \left| \sum_{k=0}^{n} \ln \left( 1 + \frac{2e^{i\theta} \left( e^{it_{nk}} - e^{i\frac{2k\pi}{n+1}} \right)}{\left( n+1 \right) \left( e^{i\theta} - \left( 1 + \frac{1}{n+1} \right) e^{it_{nk}} \right) \left( e^{i\theta} - \left( 1 - \frac{1}{n+1} \right) e^{i\frac{2k\pi}{n+1}} \right)} \right| \right|.$$

To estimate the quantity

$$I_n(\theta) := \frac{2e^{i\theta}(e^{it_{nk}} - e^{i\frac{2k\pi}{n+1}})}{(n+1)\left(e^{i\theta} - \left(1 + \frac{1}{n+1}\right)e^{it_{nk}}\right)\left(e^{i\theta} - \left(1 - \frac{1}{n+1}\right)e^{i\frac{2k\pi}{n+1}}\right)}$$

on  $[0, 2\pi]$ , it is sufficient to consider  $0 \le \theta \le \frac{\pi}{n+1}$ . We separate the estimation of the denominator of  $I_n(\theta)$  in (2.9) into two cases:

(i) For k = 0 and  $0 \le \theta \le \frac{\pi}{n+1}$ , we have

$$\left| e^{i\theta} - \left(1 + \frac{1}{n+1}\right) e^{it_{nk}} \right| \ge \left(1 + \frac{1}{n+1}\right) - 1 = \frac{1}{n+1}.$$

(ii) For  $k \ge 1$  and  $0 \le \theta \le \frac{\pi}{n+1}$ , we assume, without loss of generality, that  $\delta_p \le \frac{1}{30}$ , so that

$$\left|t_{nk}-\frac{2k\pi}{n+1}\right|\leq \frac{1}{30(n+1)}, \qquad k=1,\ldots,n.$$

Hence, it follows that, for  $k \ge 1$ ,

$$\left| e^{i\theta} - \left( 1 + \frac{1}{n+1} \right) e^{it_{nk}} \right| \ge \left| e^{i\theta} - e^{it_{nk}} \right| - \frac{1}{n+1} \ge \frac{2}{\pi} \left| \theta - t_{nk} \right| - \frac{1}{n+1}$$

$$\ge \frac{2}{\pi} \left( \left| \theta - \frac{2k\pi}{n+1} \right| - \left| \frac{2k\pi}{n+1} - t_{nk} \right| \right) - \frac{1}{n+1}$$

$$\ge \frac{2}{\pi} \left( \frac{(2k-1)\pi}{n+1} - \frac{1}{30(n+1)} \right) - \frac{1}{n+1}$$

$$\ge \frac{4k}{3(n+1)}.$$

Combining the estimates in (i) and (ii), we have

$$\left|e^{i\theta}-\left(1+\frac{1}{n+1}\right)e^{it_{nk}}\right|\geq \frac{2(k+1)}{3(n+1)}, \qquad k=0,\ldots,n.$$

The same lower bound also applies to the quantity

$$\left|e^{i\theta}-\left(1-\frac{1}{n+1}\right)e^{i\frac{2k\pi}{n+1}}\right|.$$

Consequently, we have the following estimate of  $I_n(\theta)$  defined in (2.9):

$$|I_n(\theta)| \le \frac{9}{2} \cdot \frac{n+1}{(k+1)^2} |e^{it_{nk}} - e^{i\frac{2k\pi}{n+1}}|$$

$$\le \frac{9}{2} \cdot \frac{n+1}{(k+1)^2} \left| t_{nk} - \frac{2k\pi}{n+1} \right| \le \frac{9}{2} \cdot \frac{n+1}{(k+1)^2} \mu_n.$$

Recalling that  $\delta_p \leq \frac{1}{30}$ , we have, for  $\mu_n \leq \frac{\delta_p}{n+1}$ ,

$$(2.10) |I_n(\theta)| \leq \frac{9}{2} \frac{n+1}{(k+1)^2} \mu_n \leq \frac{3}{20} < \frac{2}{3}, k = 0, \ldots, n.$$

Note that for  $|\zeta| \le \frac{2}{3}$ , we have  $|\ln(1+\zeta)| \le 2|\zeta|$ , so that by using  $\zeta = I_n(\theta)$  and estimate (2.10), the result in (2.8) yields

$$\left\| \ln \left( \frac{\omega_n(e^{i\theta})}{\tilde{\omega}_n(e^{i\theta})} \right) \right\|_* \le 9C_*(n+1)\mu_n \sum_{K=0}^n \frac{1}{(k+1)^2} < 18C_*(n+1)\mu_n.$$

Hence, it follows from Lemma 1 that if

$$\mu_n \le \frac{\varepsilon_p}{18C_*p(n+1)},$$

then  $\left|\frac{\omega_n(e^{i\theta})}{\tilde{\omega}_n(e^{i\theta})}\right|^p$  is an  $A_p$ -weight relative to  $\delta=2$ . However, it is clear that since

$$2^{-p} \leq |\tilde{\omega}_n(e^{i\theta})|^p \leq 2^p,$$

 $|\omega_n(e^{i\theta})|^p$  is also an  $A_p$ -weight relative to  $\delta=2^{p+1}$ . In view of (2.11), this completes the proof of Lemma 2 by choosing

(2.12) 
$$\delta_p = \min\left(\frac{\varepsilon_p}{18C_*p}, \frac{1}{30}\right). \quad \Box$$

In what follows, we need a result on  $H^p$ -interpolation. As usual, a sequence  $\{\zeta_j\}$ ,  $j=1,2,\ldots$ , in D is said to be  $\delta$ -uniformly separated, where  $\delta>0$ , if

(2.13) 
$$\prod_{\substack{j=1\\j\neq k}}^{\infty} \left| \frac{\zeta_j - \zeta_k}{1 - \overline{\zeta}_k \zeta_j} \right| \ge \delta > 0 \quad \text{all } k.$$

The following result can be found in [5, Chapter 9, p. 149].

**Theorem F.** Let  $0 and <math>\{\zeta_j\}$  be a  $\delta$ -uniformly separated sequence in D. Then for any sequence of complex numbers  $\{a_i\}$  satisfying

$$\sum_{j=1}^{\infty} |a_j|^p (1-|\zeta_j|^2) < \infty,$$

there exists a function  $g \in H^p$ , such that

(i)  $g(\zeta_j) = a_j, j = 1, 2, ..., and$ 

(ii)

$$\frac{1}{2\pi} \int_0^{2\pi} |g(e^{i\theta})|^p d\theta \le C_{p,\delta} \sum_{i=1}^{\infty} |a_j|^p (1 - |\zeta_j|^2)$$

where  $C_{p,\delta}$  is an absolute constant depending only on p and  $\delta$ .

We remark that the above theorem holds for any finite sequence, provided that  $\delta$  is independent of the length of this sequence. In order to apply the above theorem, we need the following.

**Lemma 3.** Let  $\mu_n$  satisfy (1.2). Then the sequence  $\{(1-\frac{1}{n+1})e^{it_{nk}}\}$ ,  $k=0,\ldots,n$ , is  $\delta$ -uniformly separated for some  $\delta>0$  independent of n.

Proof. To simplify the notation, set

$$\rho = \left(1 - \frac{1}{n+1}\right) \quad \text{and} \quad \zeta_k = \rho z_{nk} = \left(1 - \frac{1}{n+1}\right) e^{it_{nk}},$$

where  $z_{nk} = e^{it_{nk}}$ . Then

(2.14) 
$$\left| \frac{\zeta_j - \zeta_k}{1 - \overline{\zeta}_k \zeta_j} \right|^2 = \frac{2\rho^2 (1 - \cos(t_{nj} - t_{nk}))}{1 - 2\rho^2 \cos(t_{nj} - t_{nk}) + \rho^4}$$

$$= \frac{4\rho^2 \sin^2(\frac{t_{nj} - t_{nk}}{2})}{(1 - \rho^2)^2 + 4\rho^2 \sin^2(\frac{t_{nj} - t_{nk}}{2})}.$$

On the other hand, from the hypothesis, we have

$$|t_{nj} - t_{nk}| \ge \left| \frac{2j\pi}{n+1} - \frac{2k\pi}{n+1} \right| - \left| t_{nj} - \frac{2j\pi}{n+1} \right| - \left| t_{nk} - \frac{2k\pi}{n+1} \right|$$

$$\ge \frac{2\pi|j-k|}{n+1} - \frac{2\delta_p}{n+1}$$

$$\ge \frac{2\pi}{n+1} \left( |j-k| - \frac{1}{4} \right) > \frac{\pi}{n+1} |j-k|$$

for  $j \neq k$ , by recalling from (2.12) that  $\delta_p \leq \frac{1}{30} < \frac{\pi}{4}$ . Hence, since  $\sin \theta \geq 2\theta/\pi$  for  $0 \leq \theta \leq \pi/2$  and, as a function of x,

$$\frac{4\rho^2 x}{(1-\rho^2)^2 + 4\rho^2 x}$$

is monotonically increasing for  $x \ge 0$ , we have for  $0 \le t_{nj} - t_{nk} \le \pi$  or  $-\pi \le t_{nj} - t_{nk} \le 0$ , by applying (2.15):

$$\left|\frac{\zeta_j - \zeta_k}{1 - \overline{\zeta}_k \zeta_j}\right|^2 \ge \frac{4\rho^2 \left(\frac{2}{\pi}\right)^2 \left(\frac{\pi}{2(n+1)}\right)^2 (j-k)^2}{(1 - \rho^2)^2 + 4\rho^2 \left(\frac{2}{\pi}\right)^2 \left(\frac{\pi}{2(n+1)}\right)^2 (j-k)^2}.$$

Consequently, in view of the fact that each term is less than 1, we may conclude from (2.14) that

$$(2.16) \qquad \prod_{\substack{j=0\\j\neq k}}^{n} \left| \frac{\zeta_{j} - \zeta_{k}}{1 - \overline{\zeta}_{k} \zeta_{j}} \right|^{2} \ge \prod_{l=1}^{n} \left( \frac{4\rho^{2} \left(\frac{2}{\pi}\right)^{2} \left(\frac{\pi}{2(n+1)}\right)^{2} l^{2}}{\left(1 - \rho^{2}\right)^{2} + 4\rho^{2} \left(\frac{2}{\pi}\right)^{2} \left(\frac{\pi}{2(n+1)}\right)^{2} l^{2}} \right)^{2}.$$

To estimate the lower bound in (2.16), we recall that  $\rho = (1 - \frac{1}{n+1})$ , so that

$$(1-\rho^2)^2 \le \frac{4}{(n+1)^2} \le \frac{8\rho^2}{(n+1)^2}$$

and (2.16) yields

$$\prod_{\substack{j=0\\j\neq k}}^{n} \left| \frac{\zeta_{j} - \zeta_{k}}{1 - \overline{\zeta}_{k} \zeta_{j}} \right| \ge \prod_{l=1}^{n} \frac{l^{2}}{2 + l^{2}} \ge \prod_{l=1}^{\infty} \left( 1 - \frac{2}{2 + l^{2}} \right) =: \delta,$$

where  $\delta > 0$ , since  $\sum_{l=1}^{\infty} 2/(2+l^2) < \infty$ .  $\square$ 

### 3. Proof of the theorems

We are now ready to prove Theorem 1. For any polynomial  $P_n \in \pi_n$ , we use the notation

(3.1) 
$$P_n^*(z) = P_n\left(\left(1 - \frac{1}{n+1}\right)^{-1} z\right),$$

so that

(3.2) 
$$P_n^*\left(\left(1-\frac{1}{n+1}\right)e^{it_{nk}}\right) = P_n(z_{nk}),$$

where  $z_{nk} = e^{it_{nk}}$ , and

(3.3) 
$$\int_{|z|=1} |P_n(z)|^p |dz| \le \int_{|z|=1+\frac{1}{n}} |P_n(z)|^p |dz|$$

$$= \left(1 + \frac{1}{n}\right) \int_{|z|=1} |P_n^*(z)|^p |dz|.$$

From hypothesis (1.2) on  $\mu_n$ , we can apply Lemma 3 and conclude from Theorem F that there exists a function  $g \in H^p$  which satisfies

(3.4) 
$$g\left(\left(1-\frac{1}{n+1}\right)e^{it_{nk}}\right) = P_n^*\left(\left(1-\frac{1}{n+1}\right)e^{it_{nk}}\right) = P_n(z_{nk}),$$

 $k = 0, \ldots, n$ , by using (3.2), and

(3.5) 
$$\frac{1}{2\pi} \int_0^{2\pi} |g(e^{i\theta})|^p d\theta \leq \frac{2C_{p,\delta}}{n+1} \sum_{k=0}^n |P_n(z_{nk})|^p,$$

by observing that

$$1 - \left| \left( 1 - \frac{1}{n+1} \right) e^{it_{nk}} \right|^2 \le \frac{2}{n+1}.$$

Hence, to complete the proof of Theorem 2, it is sufficient to show that

(3.6) 
$$\int_0^{2\pi} |P_n(e^{i\theta})|^p d\theta \le C \int_0^{2\pi} |g(e^{i\theta})|^p d\theta$$

for some absolute constant C. Now, from (3.4), it is well known (cf. [17, Chapter 3]) that

(3.7) 
$$g(z) - P_n^*(z) = \frac{1}{2\pi i} \int_{|\zeta|=1} \frac{\omega_n(z)}{\omega_n(\zeta)} \frac{g(\zeta)}{\zeta - z} d\zeta$$

for |z| < 1, where  $\omega_n$  is defined in (2.6). By using the notation in (2.2), we have

$$\left(\frac{g-P_n^*}{\omega_n}\right)(z) = \left(H\left(\frac{g}{\omega_n}\right)\right)(z), \qquad |z| < 1.$$

Also, for  $1 and under the condition (1.2), Lemma 2 allows us to conclude that <math>|\omega_n(e^{i\theta})|^p$  is an  $A_p$ -weight. Hence, from Theorem E, it follows that

$$\int_0^{2\pi} |g(e^{i\theta}) - P_n^*(e^{i\theta})|^p d\theta = \int_0^{2\pi} \left| \left( H\left(\frac{g}{\omega_n}\right) \right) (e^{i\theta}) \right|^p |\omega_n(e^{i\theta})|^p d\theta$$

$$\leq C_H \int_0^{2\pi} |g(e^{i\theta})|^p d\theta,$$

so that, by Minkowski's inequality,

(3.8) 
$$\int_0^{2\pi} |P_n^*(e^{i\theta})|^p d\theta \leq \left(1 + C_H^{\frac{1}{p}}\right)^p \int_0^{2\pi} |g(e^{i\theta})|^p d\theta.$$

Finally, by applying (3.3) and (3.8), we arrive at (3.6) with  $C \leq 2(1 + C_H^{\frac{1}{p}})^p$ . This completes the proof of Theorem 1.  $\square$ 

To prove Theorem 2, we first remark that the case  $0 can be reduced to the case <math>1 by a simple application of Hölder's inequality (cf. [15]). Hence, we now assume that <math>1 and that (1.2) is satisfied. Let <math>f \in A$  and  $\widehat{P}_n$  be its best uniform approximant from  $\pi_n$ ; that is,  $\widehat{P}_n \in \pi_n$  and

Since  $L_n(\widehat{P}_n; \cdot) = \widehat{P}_n$ , we have

$$(3.10) ||L_n(f;\cdot) - f||_p \le ||\widehat{P}_n - f||_p + ||L_n(f - \widehat{P}_n;\cdot)||_p.$$

Also, since  $L_n(f - \hat{P}_n; z_{nk}) = f(z_{nk}) - \hat{P}_n(z_{nk})$ , it follows from Theorem 1 that

$$||L_n(f-\widehat{P}_n;\cdot)||_p^p \le \frac{C_p}{n+1} \sum_{k=0}^n |f(z_{nk}) - \widehat{P}_n(z_{nk})|^p.$$

Hence, an application of (3.9) and (3.10) yields:

$$||L_n(f;\cdot)-f||_p \leq (1+C_p^{\frac{1}{p}})E_n(f).$$

This completes the proof of Theorem 2 with  $C_p' = 1 + C_p^{\frac{1}{p}}$ .  $\square$ 

# 4. Dependence of $\delta_n$ on p

In this section, we construct a family  $\{z_{nk}: k=0,\ldots,n\}$  on T with  $\mu_n \leq \frac{\delta_2}{n+1}$  for some constant  $\delta_2 > 0$  such that

$$(4.1) ||L_n(f;\cdot) - f||_2 \le C_2' E_n(f)$$

for all  $f \in A$ , but

(4.2) 
$$\sup\{\|L_n(f;\cdot)\|_p: f \in A, \|f\|_{\infty} = 1\} \to \infty$$

for all sufficiently large values of p.

According to Theorem 1, there exists a  $\delta_2$  with  $\frac{2\pi}{n+1} > \delta_2 > 0$  such that if we select

$$z_{nk} = \begin{cases} \exp\left(i\frac{2k\pi + \delta_2}{n+1}\right) & \text{for } 0 \le k \le \left[\frac{n}{2}\right], \\ \exp\left(i\frac{2k\pi}{n+1}\right) & \text{for } \left[\frac{n}{2}\right] < k \le n, \end{cases}$$

then (4.1) is satisfied. Let  $\lambda_n(z) = \prod_{k=0}^n (z - z_{nk})$ . Then we may also write

(4.4) 
$$\lambda_n(z) = (z^{n+1} - 1) \prod_{k=0}^{\left[\frac{n}{2}\right]} \frac{z - z_{nk}}{z - e^{i\frac{2k\pi}{n+1}}}.$$

Hence, for  $\frac{5}{8}n \le j \le \frac{7}{8}n$ , we have

$$\begin{aligned} |\lambda'_{n}(z_{nj})| &= (n+1) \left| z_{nj}^{n} \prod_{k=0}^{\left[\frac{n}{2}\right]} \frac{z_{nj} - z_{nk}}{z_{nj} - e^{i\frac{2k\pi}{n+1}}} \right| \\ &\leq (n+1) \prod_{k=0}^{\left[\frac{n}{2}\right]} \left( 1 + \left| \frac{1 - e^{i\frac{\delta_{2}}{n+1}}}{1 - e^{i\frac{2(k-j)\pi}{n+1}}} \right| \right) \\ &\leq (n+1) \left( 1 + \frac{\frac{\delta_{2}}{n+1}}{2\sin\frac{\pi}{2}} \right)^{\left[\frac{n}{2}\right]+1} \leq c_{1}n \end{aligned}$$

for some constant  $c_1 > 0$ . This gives

(4.5) 
$$\sum_{k=0}^{n} \frac{1}{|\lambda'_n(z_{nk})|} \ge \sum_{\frac{5n}{8} \le k \le \frac{7n}{8}} \frac{1}{|\lambda'_n(z_{nk})|} \ge \frac{1}{4c_1}.$$

On the other hand, for  $\zeta_0 = e^{-i\frac{\pi}{2(n+1)}}$ , we have, from (4.4),

$$\left| \frac{-i-1}{\lambda_n(\zeta_0)} \right| = \left| \frac{\zeta_0^{n+1}-1}{\lambda_n(\zeta_0)} \right| = \prod_{k=0}^{\left[\frac{n}{2}\right]} \left| 1 - \frac{e^{i\frac{2k\pi}{n+1}} - z_{nk}}{\zeta_0 - z_{nk}} \right|.$$

It is obvious that there exists a constant  $\varepsilon > 0$  such that whenever  $0 \le k \le \varepsilon n$ , we have

$$\left|\arg\frac{e^{i\frac{2k\pi}{n+1}}-z_{nk}}{\zeta_0-z_{nk}}\right|\leq \frac{\pi}{4}.$$

Hence, it follows that

$$(4.7) \qquad \prod_{0 \le k \le \varepsilon n} \left| 1 - \frac{e^{i\frac{2k\pi}{n+1}} - z_{nk}}{\zeta_0 - z_{nk}} \right| \le \prod_{0 \le k \le \varepsilon n} \left| 1 - \left| \frac{e^{i\frac{2k\pi}{n+1}} - z_{nk}}{\zeta_0 - z_{nk}} \right| e^{i\frac{\pi}{4}} \right|$$

$$\le c_2 \prod_{1 \le k \le \varepsilon n} \left( 1 - \frac{c_3 \delta_2}{k} \right) \le c_2 (\varepsilon n)^{-c_4 \delta_2}$$

for some absolute positive constants  $c_2$ ,  $c_3$ , and  $c_4$ . In addition,

$$(4.8) \qquad \prod_{\varepsilon n < k < \left[\frac{n}{2}\right]} \left| 1 - \frac{e^{i\frac{2k\pi}{n+1}} - z_{nk}}{\zeta_0 - z_{nk}} \right| \leq \prod_{\varepsilon n < k \leq \left[\frac{n}{2}\right]} \left( 1 + \left| \frac{e^{i\frac{2k\pi}{n+1}} - z_{nk}}{\zeta_0 - z_{nk}} \right| \right)$$

$$\leq \prod_{\varepsilon n < k \leq \left[\frac{n}{2}\right]} \left( 1 + \frac{c_5 \delta_2}{k} \right) \leq c_6$$

for some absolute constants  $c_5$  and  $c_6$ . By combining the information from (4.6), (4.7), and (4.8), we obtain

$$(4.9) |\lambda_n(\zeta_0)| \ge c_7 n^{c_4 \delta_2}$$

for some  $c_7 > 0$ . Hence, using (4.5) and (4.9), we arrive at

(4.10) 
$$\sum_{k=0}^{n} \left| \frac{\lambda_{n}(\zeta_{0})}{(\zeta_{0} - z_{nk})\lambda'_{n}(z_{nk})} \right| \geq \frac{c_{7}}{8c_{1}} n^{c_{4}\delta_{2}}.$$

To get rid of the absolute value, let

$$\arg \frac{\lambda_n(\zeta_0)}{(\zeta_0 - z_{nk})\lambda'_n(z_{nk})} = \theta_{nk}.$$

By a lemma in [3], there exists a function  $f_n \in A$  satisfying  $||f_n||_{\infty} = 1$  and  $f_n(z_{nk}) = e^{-i\theta_{nk}}$ ,  $k = 0, \ldots, n$ . Hence, (4.10) yields

$$(4.11) |L_n(f_n; \zeta_0)| = \left| \sum_{k=0}^n f_n(z_{nk}) \frac{\lambda_n(\zeta_0)}{(\zeta_0 - z_{nk}) \lambda'_n(z_{nk})} \right| \ge \frac{c_7}{8c_1} n^{c_4 \delta_2}.$$

Finally, by one of the two Marcinkiewicz-Zygmunds inequalities (cf. [20, p. 30]) and (4.11), we have, for  $p \ge 1$ ,

$$\frac{1}{2\pi} \int_{0}^{2\pi} |L_{n}(f_{n}; e^{i\theta})|^{p} d\theta \ge \frac{c_{p}''}{n+1} \sum_{k=0}^{n} |L_{n}(f_{n}; \zeta_{0}e^{i\frac{2k\pi}{n+1}})|^{p} \\
\ge \frac{c_{p}''}{n+1} |L_{n}(f_{n}; \zeta_{0})|^{p} \ge c_{8} n^{pc_{4}\delta_{2}-1}$$

for some absolute constant  $c_8$ . Hence, using the fact that  $||f_n||_{\infty} = 1$ , we arrive at (4.2) for  $p > \frac{1}{c_4\delta_2}$ .

## 5. FINAL REMARKS

I. An assumption such as (1.2) on the distribution of  $\{z_{nk}: k=0,\ldots,n\}$  on T is necessary for  $\|L_n(f;\cdot)-f\|_p\to 0$  for all  $f\in A$ . We already know from Theorem A that for  $p=\infty$ , this family must necessarily be uniformly distributed on T. In the following, we will show that the uniform distribution of  $\{z_{nk}: k=0,\ldots,n\}$  on T is also necessary for  $\|L_n(f;\cdot)-f\|_p\to 0$  for all  $f\in A$ . Let

$$\eta_n(z) = \prod_{k=0}^n (z - z_{nk}).$$

It is well known (cf. [7, Chapter 2]) that the uniform distribution of the family  $\{z_{nk}: k=0,\ldots,n\}$  on T is equivalent to

(5.1) 
$$\lim_{n\to\infty} \frac{|\eta_n(z)|^{\frac{1}{n+1}}}{|z|} = 1, \qquad |z| > 1,$$

where the convergence is uniform on every compact subset in |z| > 1. Assume that (5.1) does not hold. Then it is known (cf. [17, p. 161]) that there is some  $\zeta_0$ ,  $|\zeta_0| > 1$ , and a sequence of integers  $n_k \to \infty$  such that  $|\eta_{n_k}(\zeta_0)|^{\frac{1}{n_k+1}} < 1$  for all k, so that

$$|\eta_{n_k}(\zeta_0)| < (1 - \varepsilon_0)^{n_k + 1}$$

for some  $\varepsilon_0 > 0$  and all k. Consider the function  $f_0(z) = 1(\zeta_0 - z)$  which is in A. Then it follows from the formula

$$f_0(z) - L_n(f_0; z) = \frac{\eta_n(z)}{\eta_n(\zeta_0)(\zeta_0 - z)}$$

that

$$|f_0(0) - L_{n_k}(f_0; 0)| = \frac{1}{|\eta_{n_k}(\zeta_0)||\zeta_0|},$$

which tends to  $\infty$  in view of (5.2). Hence, by [5, Theorem 1.5],

$$||L_n(f_0; \cdot) - f_0||_p \neq 0.$$

II. Recall that two of the main tools in establishing Theorem 1, and hence Theorem 2, are the  $H^p$ -interpolation result stated in Theorem F and the integral representation formula in equality (3.7). These two results, however, can be generalized to multiple nodes  $z_{nk}$ . In addition, if each  $z_{nk}$ ,  $k=0,\ldots,n$ , has the same multiplicity, then Lemma 2 also applies, since  $|\omega_n(e^{i\theta})|^{\alpha}$  is always an  $A_p$ -weight for any  $\alpha>0$ . Hence, for any nonnegative integer q, by setting N=(q+1)(n+1)-1, a simple modification of our proof of Theorem 1 yields the inequality

$$\frac{1}{2\pi} \int_0^{2\pi} |P_N(e^{i\theta})|^p d\theta \le \frac{C_p}{n+1} \sum_{k=0}^n \sum_{i=0}^q \frac{|P_N^{(j)}(z_{nk})|^p}{(n+1)^{jp}}$$

for all  $P_N \in \pi_N$  provided that (1.2) is satisfied, where  $1 . Of course, a different value of <math>\delta_p$  is required. Consequently, Theorem 2 can be easily extended to Hermite or Hermite-Fejér interpolation with the same estimates. In [20], this result was established for the roots of unities using another method.

Of course, the analogous problems for nodes  $z_{nk}$  with different multiplicities still remain open.

III. A seemingly very difficult problem is to determine the largest  $\delta_p$  in the condition (1.2) for the validity of Theorems 1 and 2.

IV. A more interesting problem is to find a necessary and sufficient condition on the distribution of  $\{z_{nk}: k=0,\ldots,n\}$  so that  $\|L_n(f;\cdot)-f\|_p\to 0$  for all  $f\in A$ , where  $0< p<\infty$ . Recall that (1.2) is a sufficient condition and the uniform distribution on T is a necessary condition. We remark that an example can be constructed to show that the uniform distribution of  $\{z_{nk}: k=0,\ldots,n\}$  on T is not sufficient for  $L_p$  convergence,  $0< p<\infty$ .

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